



- Trash course on ordinal numbers
- (Infinite time) Turing machines
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  - Basics on infinite time Turing machines
  - The power of infinite time Turing machines
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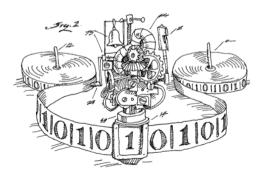
# Part I

#### A crash course on ordinal numbers



# **Part II**

## (Infinite time) Turing machines



#### **Basics on Turing machines**

- Turing machines are idealized computers operating on an infinite tape according to a finite list of rules.
- The concept is astoundingly robust.
- A subset of  $\mathbb{N}$  is **enumerable by a Turing machine** if and only if it is a  $\Sigma_1$ -set.



Alan Turing (\* 1912, † 1954)



worth watching



Alison Bechdel (\* 1960)

#### **Infinite time Turing machines**

With infinite time Turing machines, the time axis is more interesting:

- ordinary: 0, 1, 2, ...
- infinite time:  $0, 1, 2, \ldots, \omega, \omega + 1, \ldots, \omega \cdot 2, \omega \cdot 2 + 1, \ldots$

On reaching a **limit ordinal** time step like  $\omega$  or  $\omega \cdot 2, \dots$ 

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- infinite time:  $0, 1, 2, \ldots, \omega, \omega + 1, \ldots, \omega \cdot 2, \omega \cdot 2 + 1, \ldots$

On reaching a limit ordinal time step like  $\omega$  or  $\omega \cdot 2, ...$ 

- the machine is put into a **designated state**,
- the read/write head is **moved to the start** of the tape, and
- the tape is set to the "lim sup" of all its previous contents.



**Joel David Hamkins** 





Andy Lewis

# A question for you

What is the behavior of this infinite time Turing machine?

In the start state and the limit state, check whether the current cell contains a "1".

- If yes, then stop.
- If not, then flash that cell: set it to "1", then reset it to "0". Then unremittingly move the head rightwards.

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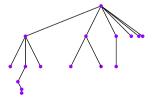
- If yes, then stop.
- If not, then flash that cell: set it to "1", then reset it to "0". Then unremittingly move the head rightwards.

This machine halts after time step  $\omega^2$ .

Infinite time Turing machines can break out of (some kinds of) infinite loops.

#### What can infinite time Turing machines do?

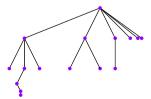
- Everything ordinary Turing machines can do.
- Verify number-theoretic statements.
- Decide whether a given ordinary Turing machine halts.
- Simulate infinite time Turing machines.
- Decide  $\Pi_1^1$  and  $\Sigma_1^1$ -statements:
  - "For every function  $\mathbb{N} \to \mathbb{N}$  it holds that ..."
  - "There is a function  $\mathbb{N} \to \mathbb{N}$  such that ..."



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  - "There is a function  $\mathbb{N} \to \mathbb{N}$  such that ..."

But: Infinite time Turing machines cannot compute all functions and cannot write arbitrary 0/1-sequences to the tape.



#### Fun facts

- Every infinite time Turing machine either halts or gets caught in an unbreakable infinite loop after countably many steps.
- An ordinal number  $\alpha$  is **clockable** iff there is an infinite time Turing machine which halts precisely after time step  $\alpha$ .
  - Speed-up Lemma: If  $\alpha + n$  is clockable, then so is  $\alpha$ .
  - Big Gaps Theorem
  - Many Gaps Theorem
  - Gapless Blocks Theorem
- Lost Melody Theorem: There are 0/1-sequences which a infinite time Turing machine can recognize, but not write to the tape.
- Infinite time Turing machines can be simulated by ordinary differential equations [Olivier Bournez, Sabrina Ouazzani]

# **Part III**



statement	in Set	in $Eff(TM)$	in Eff(ITTM)
Every number is prime or not prime.	✓ (trivially)	✓	<b>✓</b>
2 Beyond every number there is a prime.	<b>✓</b>	✓	✓
${f 3}$ Every map ${\Bbb N} o{\Bbb N}$ has a zero or not.	✓ (trivially)	X	✓
$lack4$ Every map $\mathbb{N}  o \mathbb{N}$ is computable.	X	?	?
<b>5</b> Every map $\mathbb{R} \to \mathbb{R}$ is continuous.	X	?	?
6 Markov's principle holds.	✓ (trivially)	?	?
Countable choice holds.	✓	?	?
<b>8</b> There is an injection $\mathbb{R} \hookrightarrow \mathbb{N}$ .	×	?	?

statement	in Set	in Eff(TM)	in Eff(ITTM)
<b>1</b> Every number is prime or not prime.	✓ (trivially)	✓	<b>✓</b>
<b>2</b> Beyond every number there is a prime.	1	✓	✓
${\color{red} {f 3}}$ Every map ${\mathbb N}  o {\mathbb N}$ has a zero or not.	✓ (trivially)	X	✓
$lack4$ Every map $\mathbb{N}  o \mathbb{N}$ is computable.	X	?	?
$lacksquare$ Every map $\mathbb{R}  o \mathbb{R}$ is continuous.	X	?	?
6 Markov's principle holds.	✓ (trivially)	?	?
Countable choice holds.	✓	?	?
8 There is an injection $\mathbb{R} \hookrightarrow \mathbb{N}$ .	X	?	?

<sup>&</sup>quot;Eff(TM)  $\models$  " amounts to: There is a Turing machine which determines of any given number whether it is prime or not.

	statement	in Set	in $\operatorname{Eff}(\operatorname{TM})$	in Eff(ITTM)
1	Every number is prime or not prime.	✓ (trivially)	✓	✓
2	Beyond every number there is a prime.	✓	✓	✓
3	Every map $\mathbb{N} \to \mathbb{N}$ has a zero or not.	✓ (trivially)	X	✓
4	Every map $\mathbb{N} \to \mathbb{N}$ is computable.	X	?	?
5	Every map $\mathbb{R} \to \mathbb{R}$ is continuous.	X	?	?
6	Markov's principle holds.	✓ (trivially)	?	?
7	Countable choice holds.	✓	?	?
8	There is an injection $\mathbb{R} \hookrightarrow \mathbb{N}$ .	X	?	?

<sup>&</sup>quot;Eff(TM)  $\models$  2" amounts to: There is a Turing machine which, given a number n, computes a prime larger than n.

statement	in Set	in Eff(TM)	in Eff(ITTM)
Every number is prime or not prin	ne. ✓ (trivially)	) 🗸	✓
2 Beyond every number there is a p	rime. 🗸	✓	✓
${\color{red} {f 3}}$ Every map ${\mathbb N}  o {\mathbb N}$ has a zero or n	ot. 🗸 (trivially)	) <b>X</b>	✓
$lacksquare$ Every map $\mathbb{N}  o \mathbb{N}$ is computable.	X	?	?
<b>5</b> Every map $\mathbb{R} \to \mathbb{R}$ is continuous.	X	?	?
6 Markov's principle holds.	✓ (trivially)	?	?
Countable choice holds.	✓	?	?
8 There is an injection $\mathbb{R} \hookrightarrow \mathbb{N}$ .	×	?	?

<sup>&</sup>quot;Eff(TM)  $\models$  3" amounts to: There is a Turing machine which, given a Turing machine computing a map  $f : \mathbb{N} \to \mathbb{N}$ , determines whether f has a zero or not.

statement	in Set	in Eff(TM)	in Eff(ITTM)
<b>1</b> Every number is prime or not prime.	✓ (trivially)	✓	✓
2 Beyond every number there is a prime	e. 🗸	✓	✓
<b>3</b> Every map $\mathbb{N} \to \mathbb{N}$ has a zero or not.	✓ (trivially)	X	✓
$ullet$ Every map $\mathbb{N}  o \mathbb{N}$ is computable.	X	✓ (trivially)	?
<b>5</b> Every map $\mathbb{R} \to \mathbb{R}$ is continuous.	X	?	?
6 Markov's principle holds.	✓ (trivially)	?	?
Countable choice holds.	✓	?	?
8 There is an injection $\mathbb{R} \hookrightarrow \mathbb{N}$ .	X	?	?

<sup>&</sup>quot;Eff(TM)  $\models$  "amounts to: There is a Turing machine which, given a Turing machine computing a map  $f : \mathbb{N} \to \mathbb{N}$ , outputs a Turing machine computing f.

statement	in Set	in Eff(TM)	in Eff(ITTM)
<b>1</b> Every number is prime or not prime.	✓ (trivially)	✓	<b>✓</b>
<b>2</b> Beyond every number there is a prime.	<b>✓</b>	✓	✓
${\color{red} {f 3}}$ Every map ${\mathbb N}  o {\mathbb N}$ has a zero or not.	✓ (trivially)	X	✓
$lacksquare$ Every map $\mathbb{N}  o \mathbb{N}$ is computable.	X	✓ (trivially)	X
$lacksquare$ Every map $\mathbb{R}  o \mathbb{R}$ is continuous.	X	?	?
6 Markov's principle holds.	✓ (trivially)	?	?
Countable choice holds.	✓	?	?
8 There is an injection $\mathbb{R} \hookrightarrow \mathbb{N}$ .	X	?	?

<sup>&</sup>quot;Eff(ITTM)  $\models$  "amounts to: There is an infinite time Turing machine which, given an *infinite time* Turing machine computing a map  $f : \mathbb{N} \to \mathbb{N}$ , outputs an (*ordinary*) Turing machine computing f.

statement	in Set	in $Eff(TM)$	in Eff(ITTM)
1 Every number is prime or not prime.	✓ (trivially)	✓	<b>✓</b>
Beyond every number there is a prim	e. 🗸	$\checkmark$	✓
<b>3</b> Every map $\mathbb{N} \to \mathbb{N}$ has a zero or not.	✓ (trivially)	X	✓
$lack4$ Every map $\mathbb{N}  o \mathbb{N}$ is computable.	X	✓ (trivially)	X
${\color{red} { t 5}}$ Every map $\mathbb{R}  o \mathbb{R}$ is continuous.	X	√ (if MP)	?
6 Markov's principle holds.	✓ (trivially)	?	?
Countable choice holds.	✓	?	?
<b>8</b> There is an injection $\mathbb{R} \hookrightarrow \mathbb{N}$ .	X	?	?

A real number of Eff(TM) is externally represented by a Turing machine M which on input n outputs a rational approximation M(n). These approximations need to be compatible in that  $|M(n) - M(m)| \le 2^{-n} + 2^{-m}$  for all n, m.

Two such machines M and M' represent the same real number iff  $|M(n) - M'(m)| \le 2^{-n} + 2^{-m}$  for all n, m.

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1 Every number is prime or no	t prime. ✓ (trivially)	✓	<b>✓</b>
<b>2</b> Beyond every number there	is a prime. 🗸	✓	✓
<b>3</b> Every map $\mathbb{N} \to \mathbb{N}$ has a zero	o or not. 🗸 (trivially)	X	✓
$ ule{4}$ Every map $\mathbb{N}  o \mathbb{N}$ is comput	table. 💢	√ (trivially)	X
<b>5</b> Every map $\mathbb{R} \to \mathbb{R}$ is continu	ious. 🗶	✓ (if MP)	X
6 Markov's principle holds.	✓ (trivially)	?	?
<b>7</b> Countable choice holds.	✓	?	?
${\color{red}\mathbb{Z}}$ There is an injection $\mathbb{R}\hookrightarrow\mathbb{N}$	. <b>X</b>	?	?

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$lacksquare$ Every map $\mathbb{N}  o \mathbb{N}$ is computable.	X	✓ (trivially)	X
$lacksquare$ Every map $\mathbb{R}  o \mathbb{R}$ is continuous.	X	√ (if MP)	X
6 Markov's principle holds.	✓ (trivially)	√ (if MP)	?
Countable choice holds.	✓	?	?
8 There is an injection $\mathbb{R} \hookrightarrow \mathbb{N}$ .	X	?	?

Markov's principle states:  $\forall f : \mathbb{N} \to \mathbb{N}$ .  $\neg \neg (\exists n \in \mathbb{N}, f(n) = 0) \Rightarrow (\exists n \in \mathbb{N}, f(n) = 0)$ .

"Eff(TM)  $\models$  6" amounts to: There is a Turing machine which, given a Turing machine computing a map  $f : \mathbb{N} \to \mathbb{N}$  and given the promise that it is *not not* the case that f has a zero, determines a zero of f.

statement	in Set	in Eff(TM)	in Eff(ITTM)
Every number is prime or not prime.	✓ (trivially)	✓	<b>✓</b>
<b>2</b> Beyond every number there is a prime.	<b>√</b>	✓	✓
${\color{red} {f 3}}$ Every map ${\mathbb N}  o {\mathbb N}$ has a zero or not.	✓ (trivially)	X	✓
$lack4$ Every map $\mathbb{N}  o \mathbb{N}$ is computable.	X	✓ (trivially)	X
$lacksquare$ Every map $\mathbb{R}  o \mathbb{R}$ is continuous.	X	√ (if MP)	X
6 Markov's principle holds.	✓ (trivially)	√ (if MP)	✓ (if MP)
Countable choice holds.	<b>✓</b>	?	?
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2 Beyond	l every number there is a prime.	<b>√</b>	✓	✓
3 Every r	nap $\mathbb{N}  o \mathbb{N}$ has a zero or not.	✓ (trivially)	X	✓
4 Every r	nap $\mathbb{N}  o \mathbb{N}$ is computable.	X	√ (trivially)	X
5 Every 1	map $\mathbb{R}  o \mathbb{R}$ is continuous.	X	√ (if MP)	X
	y's principle holds.	✓ (trivially)	√ (if MP)	✓ (if MP)
	ble choice holds.	✓	✓ (always!)	?
8 There i	s an injection $\mathbb{R}\hookrightarrow\mathbb{N}.$	X	?	?

Countable choice states:  $(\forall x \in \mathbb{N}. \exists y \in A. \varphi(x, y)) \Rightarrow (\exists f : \mathbb{N} \to A. \forall x \in \mathbb{N}. \varphi(x, f(x))).$ 

"Eff(TM)  $\models$  " amounts to: There is a Turing machine which, given a Turing machine computing for every  $x \in \mathbb{N}$  some  $y \in A$  together with a witness of  $\varphi(x, y)$ , outputs a Turing machine computing a suitable choice function  $\mathbb{N} \to A$ .

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6 Markov's principle holds.	✓ (trivially)	√ (if MP)	✓ (if MP)
Countable choice holds.	✓	✓ (always!)	✓ (always!)
$\blacksquare$ There is an injection $\mathbb{R} \hookrightarrow \mathbb{N}$ .	X	X	?

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$lack4$ Every map $\mathbb{N}  o \mathbb{N}$ is computable.	X	✓ (trivially)	X
<b>5</b> Every map $\mathbb{R}  o \mathbb{R}$ is continuous.	X	√ (if MP)	X
6 Markov's principle holds.	✓ (trivially)	√ (if MP)	√ (if MP)
Countable choice holds.	$\checkmark$	✓ (always!)	✓ (always!)
<b>8</b> There is an injection $\mathbb{R} \hookrightarrow \mathbb{N}$ .	X	X	<b>✓</b>

#### Curious size phenomena

 $Eff(ITTM) \models \text{``There exists an injection } \mathbb{R} \hookrightarrow \mathbb{N}.\text{''}$  means:

There is an infinite time Turing machine which inputs the source of an infinite time Turing machine A representing a real number and outputs a natural number n(A) such that n(A) = n(B) if and only if A and B represent the same real.

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This statement is witnessed by following infinite time Turing machine:

Read the source of an infinite time Turing machine *A* from the tape. Simulate all infinite time Turing machines in a dovetailing fashion. As soon as a machine is found which represents the same real as *A*, output the index of this machine and halt.

## Wrapping up

- Effective toposes are a good vehicle for studying the nature of computation, in particular its higher-order aspects.
- Effective toposes build links between constructive mathematics and programming, check out Laura's talk for more.
- Toposes allow for curious dream axioms.
- Toposes also have a geometric flavor: points, open and closed subtoposes, continuous maps between toposes, cohomology, ...

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You are welcome to explore the toposophic landscape :-)